ROHM AND HAAS EM

APR-30-04 FRI 01:36 PM

FAX:302 283 2144

PAGE

PTO/SB/21 (08-0 Approved for use through 07/31/2006. OMB 0651-0031

11-4-15- O4F	and ordinal Art of 1995, GD DB	rsons a	U.S. Patent one required to respond to a collection	and Trade on of inform	emark O	less it disp	lays a valid OMB control number.	
Under the Paper Recognition and Co. 1550, the			Application Number	10/809,535				
TRANSMITTAL			Filing Date	Mar 24, 2004				
FORM			First Named Inventor	Kelley, Francis J.				
(to be used for all correspondence after initial filing)			Art Unit					
Ma a 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 -			Examiner Name					
Total Number of Pages in This Submission		4	Attorney Docket Number	mey Docket Number 02009US				
ENCLOSURES (check all that apply)								
Fee Transmittal Form			Drawing(s)			After Allowance communication to Group Appeal Communication to Board		
. Fee Attached			Licensing-related Papers			of App	eals and Interferences at Communication to Group	
Amendment / Reply			Petition			J (Appe:	al Notice, Bner, Repry Bner/	
After Final			Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address			Proprietary Information Status Letter		
Affidavits/declaration(s)								
Extension of Time Request			Terminal Disclaimer			Other identif	Endosure(s) (please fy below):	
Express Abandonment Request			Request for Refund Pref			minary .	Amendment	
Information Disclosure Statement			CD, Number of CD(s)					
Certified Copy of Priority Document(s)		Remarks						
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Individual name Konnoth A. Benson, Reg. No. 27.971								
Individual name Kenneth A. Benson, Reg. No. 27,971 Signature Kenneth A. Benson, Reg. No. 27,971								
Date April 30, 2004								
CERTIFICATE OF TRANSMISSION/MAILING CERTIFICATE OF TRANSMISSION/MAILING LIDITED States Postal Service with								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.P. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Type or printed name Barbara A. Wiley								
Signature Bo. Lan		Q	. Neluy			Date	April 30, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief case. Any comments of the Chief case.

in you need assistance in completing the form, call 1-800-PTO-9199 (1-800-766-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED CENTRAL FAX CENTED

MAY 0 4 2004

OFFICIAL

Applicant(s):

Kelley et al.

Application No.: 10/809535

Filed: 3/24/2004

Title: COMPOSITIONS AND METHODS FOR

POLISHING COPPER

Attorney Docket No.: 02009US

Art Unit:

FAX:302 283 2144

Examiner:

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Please amend this application as follows:

In the Specification:

In paragraph [0010] please change "0.2 to 5.0 weight percent of water soluble cellulose" to "0.01 to 5.0 weight percent of water soluble cellulose". This change will make the range consistent with the range as stated in the STATEMENT OF THE INVENTION in paragraphs [0006] and [0007] where it is "0.01 to 5 modified cellulose" by weight percent.

REMARKS

The above change in the specification in no way introduces new matter. The change corrects an obvious mistake in the DETAILED DESCRIPTION.

Attached to this Preliminary Amendment is a corrected page 3 of the specification.

Respectfully submitted,

Kenneth A. Benson

Patent Agent

Reg. No. 27,971

Kenneth A. Benson Patent Agent 1105 North Market Street Suite 1300 Wilmington, DE 19899

Tel. 302-366-0500

alloys thereof.

[0010] The polishing composition of this invention contains 0.01 to 5.0 weight percent of water soluble cellulose modified with carboxylic acid functionality. Preferably, the composition contains about 0.3 weight percent of water soluble cellulose. Exemplary modified cellulose are anionic gums such as agar gum, arabic gum, ghatti gum, karaya gum, guar gum, pectin, locust bean gum, tragacanth gums, tamarind gum, carrageenan gum, and xantham gum, modified starch, alginic acid, mannuronic acid, guluronic acid, and their modifications and combinations. The preferred water soluble cellulose, carboxy methyl cellulose (CMC), has a degree of substitution of 0.1 to 3.0 with a molecular weight of 20K to 1000K. More preferred CMC has a degree of substitution of 0.7 to 1.2 with a molecular weight of 40K to 250K. Degree of substitution in CMC is the number of hydroxyl groups on each anhydroglucose unit in the cellulose molecule that is substituted. It can be considered as a measure of the "density" of carboxylic acid groups in the CMC. Advantageously, the solution contains up to 25 weight percent oxidizer. More preferably, the oxidizer is in the range of 5 to 10 weight percent. The oxidizer is particularly effective at assisting the solution in removing copper at low pll ranges. The oxidizing agent can be at least one of a number of oxidizing compounds, such as hydrogen peroxide (H2O2), monopersulfates, iodates, magnesium perphthalate, peracetic acid and other per-acids, persulfates, bromates, periodates, nitrates, iron salts, cerium salts, Mn (III), Mn (IV) and Mn (VI) salts, silver salts, copper salts, chromium salts, cobalt salts, halogens, hypochlorites and a mixture thereof. Furthermore, it is often advantageous to use a mixture of oxidizer compounds. When the polishing slurry contains an unstable oxidizing agent such as, hydrogen peroxide, it is often most advantageous to mix the oxidizer into the composition at the point of usc.

Further, the solution contains 0.05 to 1.0 weight percent inhibitor to control [0012]copper interconnect removal rate by static etch or other removal mechanism. Adjusting the concentration of an inhibitor adjusts the interconnect metal removal rate by protecting the metal from static etch. Advantageously, the solution contains 0.2 to 1.0 weight percent inhibitor. The inhibitor may consist of a mixture of inhibitors. Azole inhibitors are particularly effective for copper and silver interconnects. Typical azole inhibitors include benzotriazole (BTA), mercaptobenzothiazole (MBT), tolytriazole and imidazole. BTA is a particularly effective inhibitor for copper and silver.